



Analyze your rollover recommendation

Leverage fully integrated plan cost data



Document and disclose the recommendation

Fulfill retrospective review requirement



- Compare your rollover recommendations against cost, service, and fit criteria
- Instant access to Form 5500 and benchmark data to assess plan costs
- Capture and store the recommendation while also documenting and disclosing for the client
- Fulfill retrospective review requirements with an intuitive workflow for analysis, documentation, and disclosure

Talk to an expert today!

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COMPLY™



RolloverAnalyzer

The RolloverAnalyzer and Rollover Dashboard provides advisers with an intuitive digital solution to assess, document, and disclose rollover recommendations to meet the DOL's PTE 2020-02 compliance requirements, including the retrospective review.

WHY ROLLOVERANALYZER?

Rollovers are estimated to reach \$760 billion annually over the next five years and there is an appetite for technology in order to meet the DOL's PTE 2020-02 regulatory requirements amongst advisers.

RolloverAnalyzer will eliminate manual processes which can be time consuming and cumbersome for compliance teams and RIAs to

review and analyze. Auto-generated compliance text provides the 'reasons why' to support the adviser's decision-making, turning analysis into thought-leadership.

Using RolloverAnalyzer, advisers can look up employer-sponsored plans from the Form 5500 database and leverage industry benchmark functionality to save hours of manual reporting.

WHY ROLLOVER DASHBOARD?

As part of the DOL's PTE 2020-02, financial advisory firms are required to "conduct a retrospective review, at least annually, that is reasonably designed to assist the Financial Institution in detecting and preventing violations..."

Rollover Dashboard streamlines your retrospective review process with comprehensive capabilities to monitor and analyze rollover activity, identifying risk-based scenarios for self-correction, and exporting data for regulatory reports with ease.

