



2026 IACCP® Calendar of Events

May 4, 2026

IACCP and IA Core candidates can register through the IACCP Resource Center [portal](#).

C IA Core **I** IACCP® **E** Elective **T** Testable Content

Legend for enrollees in the IACCP® and IA Core Compliance programs

DATE/TIME (ET)	COURSES	CODE	REQUIRED	LOCATION
1/8 1-3 pm	Compliance Programs Rules & Strategies for Managing Your Annual Review	AA06	C I T	Online
1/13 1-3 pm	Introduction to the Advisers Act: Framework, Registration, Exclusions & More	AA01	C I T	Online
1/15 1-3 pm	Form ADV Part 1: Annual Updating Amendment & More	DIS01	C I T	Online
1/22 1-4 pm	IACCP Exam Study Session		Optional	Online
1/27 1-3 pm	Books & Records Requirements for Investment Advisers	AA02	C I T	Online
FEB. 10-13	Virtual IACCP® Program Symposium			
2/10 10 am – 12 pm	Mandates Beyond the Advisers Act: AML, ERISA, & '34 Act Reporting	MAN01	I T	Online
2/10 1-3 pm	Mandates Beyond the Advisers Act: Data Protection: Privacy, Identity Theft & Cybersecurity	MAN02	I T	Online
2/11 10 am – 12 pm	Trading Practices, Portfolio Compliance & Related Enforcement Cases	TRA01	I T	Online
2/11 1-3 pm	Trading Compliance: Best Execution, Soft Dollars & Directed Brokerage	TRA02	I T	Online
2/11 3:30 – 5:30 pm	Are You Prepared: Business Continuity, Disaster Recovery, and Succession Planning	ELC	I	Online
2/12 10 am – 12 pm	Two Trading Compliance Challenges: Valuation & Trade Errors	TRA03	I T	Online
2/12 1-3 pm	Investment Adviser Marketing Rule	DIS03	I T	Online
2/12 3:30 – 5:30 pm	Private Fund Compliance: An Interactive Lab	ELC	I E	Online
2/13 10 am – 12 pm	Critical Skills for High-Performance Compliance Professionals	SKL01	I	Online
2/13 1-3 pm	Generative AI and Data Privacy	ELC	I E	Online
3/5 1-3 pm	Ethical Decision-Making for Compliance Professionals <i>*This course qualifies for the IACCP Ethics CE requirement</i>	ETH02	C I T	Online
3/10 1-3 pm	Advisers Act Anti-Fraud Rules: Custody, Political Contributions & Proxy Voting Requirements	AA05	C I T	Online
3/12 1-3 pm	Two Persistent Compliance Challenges: Insider Trading & Advisory Contracts	AA03	C I T	Online

DATE/TIME (ET)	COURSES	CODE	REQUIRED	LOCATION
3/24 1-3 pm	From ADV Part 2 and 3: Identifying & Disclosing Conflicts	DIS02	C I T	Online
4/9 1-4 pm	IACCP Exam Study Session		Optional	Online
4/14 1-3 pm	Mandates Beyond the Advisers Act: AML, ERISA, & '34 Act Reporting	MAN01	I T	Online
4/16 1-3 pm	Trading Practices, Portfolio Compliance & Related Enforcement Cases	TRA01	I T	Online
4/21 1-3 pm	Trading Compliance: Best Execution, Soft Dollars & Directed Brokerage	TRA02	I T	Online
MAY 12-15 Virtual Investment Adviser Core Compliance (IA Core) Program Symposium				
5/12 10 am – 12 pm	Introduction to the Advisers Act: Framework, Registration, Exclusions & More	AA01	C I T	Online
5/12 1-3 pm	Books and Records Requirements for Investment Advisers	AA02	C I T	Online
5/13 10 am – 12 pm	Form ADV Part 1: Annual Updating Amendment & More	DIS01	C I T	Online
5/13 1-3 pm	Investment Adviser Codes of Ethics: The Rule Plus Implications of Gifts & Whistleblowers <i>*This course does not qualify for the IACCP Ethics CE requirement</i>	ETH01	C I T	Online
5/13 3:30 – 5:30 pm	Two Persistent Compliance Challenges: Insider Trading & Advisory Contracts	AA03	C I T	Online
5/14 10 am – 12 pm	Form ADV Part 2 & 3: Identifying & Disclosing Conflicts	DIS02	C I T	Online
5/14 1-3 pm	Understanding Fiduciary Duties & a Sweep of Certain Anti-Fraud Provisions of the Advisers Act	AA04	C I T	Online
5/14 3:30 – 5:30 pm	Ethical Decision-Making for Compliance Professionals <i>*This course qualifies for the IACCP Ethics CE requirement</i>	ETH02	C I T	Online
5/15 10 am – 12 pm	Advisers Act Anti-Fraud Rules: Custody, Political Contributions & Proxy Voting Requirements	AA05	C I T	Online
5/15 1-3 pm	Compliance Programs Rules & Strategies for Managing Your Annual Review	AA06	C I T	Online
6/11 1-3 pm	Investment Adviser Marketing Rule	DIS03	I T	Online
6/16 1-3 pm	Compliance Monitoring and Testing	ELC	I E	Online
6/23 1-3 pm	Investment Adviser Codes of Ethics: The Rule Plus Implications of Gifts & Whistleblowers <i>*This course does not qualify for the IACCP Ethics CE requirement</i>	ETH01	C I T	Online
July 14-17 Virtual IACCP® Program Symposium				
7/14 10 am – 12 pm	Mandates Beyond the Advisers Act: AML, ERISA, & '34 Act Reporting	MAN01	I T	Online
7/14 1-3 pm	Mandates Beyond the Advisers Act: Data Protection: Privacy, Identity Theft & Cybersecurity	MAN02	I T	Online
7/15 10 am – 12 pm	Trading Practices, Portfolio Compliance & Related Enforcement Cases	TRA01	I T	Online
7/15 1-3 pm	Trading Compliance: Best Execution, Soft Dollars & Directed Brokerage	TRA02	I T	Online

DATE/TIME (ET)	COURSES	CODE	REQUIRED	LOCATION
7/15 3:30 – 5:30 pm	SEC Examinations and Enforcement Actions	ELC	I E	Online
7/16 10 am – 12 pm	Two Trading Compliance Challenges: Valuation & Trade Errors	TRA03	I T	Online
7/16 1-3 pm	Investment Adviser Marketing Rule	DIS03	I T	Online
7/16 3:30 – 5:30 pm	State Registered Advisers: A Compliance Tutorial for Working with State Regulators	ELC	I E	Online
7/17 10 am – 12 pm	Critical Skills for High-Performance Compliance Professionals	SKL01	I	Online
7/17 1-3 pm	Cybersecurity Incident Planning	ELC	I E	Online
8/13 1-3 pm	Ethical Decision-Making for Compliance Professionals <i>*This course qualifies for the IACCP Ethics CE requirement</i>	ETH02	C I T	Online
8/18 1-3 pm	Form ADV Part 2 & 3: Identifying & Disclosing Conflicts	DIS02	C I T	Online
8/20 1-4 pm	IACCP Exam Study Session		Optional	Online
8/25 1-3 pm	Two Persistent Compliance Challenges: Insider Trading & Advisory Contracts	AA03	C I T	Online
9/10 1-3 pm	Form ADV Part 1: Annual Updating Amendment & More	DIS01	C I T	Online
9/15 1-3 pm	Understanding Fiduciary Duties & a Sweep of Certain Anti-Fraud Provisions of the Advisers Act	AA04	C I T	Online
9/17 1-3 pm	Trading Compliance: Best Execution, Soft Dollars & Directed Brokerage	TRA02	I T	Online
9/24 1-3 pm	Advisers Act Anti-Fraud Rules: Custody, Political Contributions & Proxy Voting Requirements	AA05	C I T	Online
10/1 1-3 pm	Introduction to the Advisers Act: Framework, Registration, Exclusions & More	AA01	C I T	Online
10/6 1-3 pm	Books and Records Requirements for Investment Advisers	AA02	C I T	Online
10/15 1-3 pm	Compliance Programs Rules & Strategies for Managing Your Annual Review	AA06	C I T	Online
10/20 1-3 pm	Investment Adviser Codes of Ethics: The Rule Plus Implications of Gifts & Whistleblowers <i>*This course does not qualify for the IACCP Ethics CE requirement</i>	ETH01	C I T	Online
Nov. 8-11, 2026 ComplyConnect 2026 at the Omni Nashville Hotel in Nashville, TN				
11/10 2-4p ET / 1-2p CT	Ethical Decision-Making for Compliance Professionals <i>*This course qualifies for the IACCP Ethics CE requirement</i>	ETH02	C I T	In-Person & Online
11/24 1-4 pm	IACCP Exam Study Session		Optional	Online
12/3 1-3 pm	Two Trading Compliance Challenges: Valuation & Trade Errors	TRA03	I T	Online
12/8 1-3 pm	RIA Year-End Compliance Check-Up	ELC	I E	Online
12/10 1-3 pm	Understanding Fiduciary Duties & a Sweep of Certain Anti-Fraud Provisions of the Advisers Act	AA04	C I T	Online
12/15 1-3 pm	Critical Skills for High-Performance Compliance Professionals	SKL01	I	Online

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Any questions? Contact the Education Team at education@comply.com.

[Comply.com](https://comply.com)

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